

Docket No. 197832US25
Client Ref: PIP-71-KATZ



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: GARY M. KATZ ET AL
SERIAL NO: NEW APPLICATION GAU:
FILED: HEREWITH EXAMINER:
FOR: TARGETED COMMUNICATIONS BASED ON PROMOTIONAL RESPONSE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:
Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

197832US25

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SERIAL NO.

NEW APPLICATION

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

GARY M. KATZ ET AL

FILING DATE

HEREWITH

GROUP

UNKNOWN

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,026,370	02/15/00	Jermyn			
	AB	5,982,892	11/09/99	Hicks et al.			
	AC	5,918,213	06/29/99	Bernard et al.			
	AD	5,892,827	04/06/99	Beach et al.			
	AE	5,857,175	01/05/99	Day et al.			
	AF	5,832,457	11/03/98	O'Brien et al.			
	AG	5,822,735	10/13/98	De Lapa et al.			
	AH	5,774,868	06/30/98	Cragun et al.			
	AI	5,687,322	11/11/97	Deaton et al.			
	AJ	5,612,527	03/18/97	Ovadia			
	AK	5,515,270	05/07/96	Weinblatt			
	AL	5,504,519	04/02/96	Remillard			
	AM	5,502,636	03/26/96	Clarke			
	AN	5,380,991	10/10/95	Valencia et al.			
	AO	5,201,010	04/06/93	Deaton et al.			
	AP	3,959,624	05/25/76	Kaslow			
	AQ	6,105,002	08/15/00	Powell			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

TC903 U.S. PTO
09/776683
02/06/01